



DOCKET: CU-5003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Congqi Li

Serial No.: 10/589,522

Group Art Unit:

Filed: August 16, 2006

Examiner:

For: METHOD AND DEVICE FOR IMPLEMENTING OCH-SPRING IN  
WAVELENGTH DIVISION MULTIPLEXING SYSTEMS

***Certification under 37 CFR 1.8(a)***

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 21, 2007.

*Louise Johnson*  
Louise Johnson

THE COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE  
MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

No fee is believed to be required in view of the above. However, if this not the case, the Commissioner is authorized to charge Deposit Account No. 12-0400 for any required fees.

Date: August 21 2007

*[Signature]*  
W. William Park, Reg. No. 55,523  
Ladas & Parry  
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Chicago Illinois 60604  
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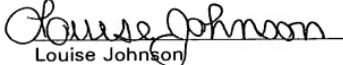
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**INFORMATION DISCLOSURE STATEMENT**

Applicant submits herewith patents, publications or other information of which Applicant is aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.

No paper copies of the U.S. patent references identified in this IDS, if any, are submitted herewith as they are no longer required by the USPTO rules. Only the copies of foreign patent and/or other relevant publication references are submitted herewith.

Also submitted herein is a copy of the European Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

X supplied by an individual associated with the filing and prosecution of this application (37 CFR 1.56(c));  
X cited in a corresponding EPO application.r

Respectfully submitted,



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Date: August 21, 2007



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Form PTO-1449 (Modified)

FORM PTO-1449	ATTY. DOCKET NO. CU-5003	SERIAL NO. 10/589,522
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	Applicant Congqi Li	FILING DATE August 16, 2006
		GROUP #

## U.S. PATENT DOCUMENTS

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
	DE10126334	12/12/02	GERMANY			
	DE19946487	05/10/01	GERMANY			
	WO02069540	09/06/02	PCT			

**OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)**

OTHER DOCUMENTS (including Author, Title, Date, Place of publication)		
		Tatsuya Shiragaki et al; "Protection architecture and applications of Och shared protection ring." Optical Networks Magazine July/August 2001
EXAMINER	DATE CONSIDERED	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

(Form PTO-1449)